



MP # A3

Atty. Docket No.: 1322.0040C

EDELL, SHAPIRO, FINNAN & LYTLE, LLC  
1901 Research Boulevard  
Suite 400  
Rockville, Maryland 20850-3164  
(301) 424-3640

In re the PATENT application of

Durward I. Faries, Jr. et al.

Serial No.: 10/016,128

Filed: December 17, 2001

For: Method and Apparatus for Heating Solutions Within Intravenous Lines to  
Desired Temperatures During Infusion

**ATTN: BOX MISSING PARTS**  
COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

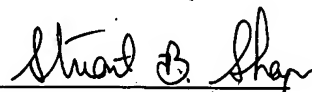
TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the subject application are a Response to the Notice to File Missing Parts, Declaration (3 pages), Copy of Notice to File Missing Parts, and Check No. 6504 in the amount of \$944.00 in payment of the subject application small entity filing fee and surcharge.

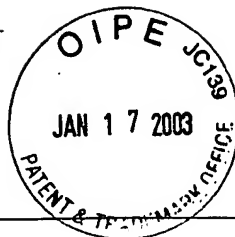
The Commissioner is hereby authorized to charge any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,



Stuart B. Shapiro  
Registration No. 40,169

Hand-delivered: 1/17/2003



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,128	12/17/2001	Durward I. Faries, JR.	1322.0040C

CONFIRMATION NO. 4172

EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC  
Suite 400  
1901 Research Boulevard  
Rockville, MD 20850-3164

## FORMALITIES LETTER



\*OC000000009194708\*

Date Mailed: 12/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$504** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$939** for a Small Entity

- **\$370** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

01/21/2003 TTRAM1 00000006 10016153  
01 FC:2001 375.00 OP  
02 FC:2201 126.00 OP  
03 FC:2202 378.00 OP  
04 FC:2051 65.00 OP

- Total additional claim fee(s) for this application is **\$504**
  - **\$378** for **42** total claims over 20 .
  - **\$126** for **3** independent claims over 3 .

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*A copy of this notice MUST be returned with the reply.*

*Thank You*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty. Docket No. 1322.0040C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the PATENT application of

Durward I. Faries, Jr. et al.

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Filed: December 17, 2001

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Desired Temperatures During Infusion

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated December 4, 2002, enclosed for filing in the subject application are a copy of the Notice to File Missing Parts, Declaration (3 pages), and Check No. 6504 in the amount of \$944.00 in payment of the subject application filing fee and surcharge calculated as shown below.

FEE CALCULATION

				SMALL ENTITY FEE		\$375.00
				SURCHARGE		\$ 65.00
<u>TOTAL CLAIMS</u>	62	-	20	=	42	Extra x\$ 9 = \$378.00
<u>INDEP. CLAIMS</u>	6	-	3	=	3	Extra x\$42= \$ 126.00
						TOTAL FEE = \$ 944.00

The Commissioner is hereby authorized to charge any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,

Stuart B. Shapiro  
Registration No. 40,169

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